



PATENT
Attorney Docket No. 226877
Client Reference No. 307089.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LEWIS et al.

Application No. 10/823,686

Art Unit: 2131

Examiner: Unassigned

Filed: April 14, 2004

For: SYSTEM AND METHODS FOR
PROVIDING NETWORK QUARANTINE

**AMENDMENT PURSUANT TO 37 CFR 1.48(a) TO ADD AN
INVENTOR TO THE APPLICATION**

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Petition
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Dear Sir:

Applicants respectfully request that United States Patent Application No. 10/823,686 (hereinafter "present application") be amended to name Calvin Choe as an additional co-inventor. Submitted with this Amendment are:

- (1) a petition pursuant to 37 CFR 1.48(a)(1) by the omitted inventor, Calvin Choe, attesting that the omission occurred without deceptive intent;
- (2) a corrected declaration pursuant to 37 CFR 1.48(a)(2) and 37 CFR 1.63; and
- (3) written consent of the assignee, Microsoft Corporation, to amend the application to name Calvin Choe as an inventor, including an assignment document showing that Microsoft Corporation is the assignee of the full interest of the named inventors; and

Pursuant to 37 CFR 1.48(a)(3) and 37 CFR 1.17(i), the Commissioner is authorized to charge \$130 to Deposit Account No. 12-1216.

05/20/2005 HALI11 00000007 121216 10523686
01 FC:1464 130.00 DA

In re Appln. of Lewis et al. LEWIS et al.
Application No. 10/823,686 10/823,686

Entry of this Amendment is earnestly solicited.

Respectfully submitted,



A. Wesley Ferrebee, Reg. No. 51,312
LEYDIG, VOIT & MAYER
700 Thirteenth Street, N.W., Suite 300
Washington, DC 20005-3960
(202) 737-6770 (telephone)
(202) 737-6776 (facsimile)

Date:

5/19/05



PATENT
Attorney Docket No. 226877
Client Reference No. 307089.02

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In re Application of:

LEWIS et al.

Application No. 10/823,686

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For: SYSTEM AND METHODS FOR
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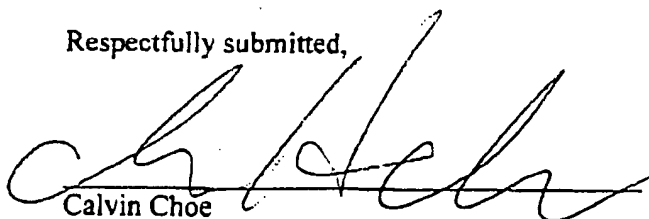
PETITION PURSUANT TO 37 CFR 1.48(a)(1)

U.S. Patent and Trademark Office
220 20th Street S. Customer Window, Mail Stop Petition
Commissioner for Patents
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

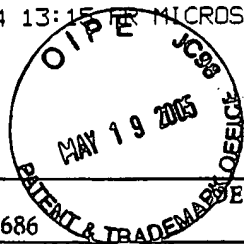
Dear Sir:

I, Calvin Choe, attest that I am an inventor of subject matter that is claimed in United States Patent Application No. 10/823,686. I further attest that the omission of my name as an inventor on the application was inadvertent and occurred without deceptive intent on my part.

Respectfully submitted,



Calvin Choe



PATENT APPLICATION

SERIAL NO. 10/823,686

DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 226877

MS DOCKET NO. 307089.02

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM AND METHODS FOR PROVIDING NETWORK QUARANTINE

the specification of which is filed herewith unless the following box is checked:

- ☐ was filed on _____ as US Application Serial No. or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES:___ NO:___
			YES:___ NO:___

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with

Customer No. 38887

to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence To: Customer No. 38887 38887 LEYDIG, VOIT & MAYER, LTD. TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STEITSON AVENUE CHICAGO, IL 60601-6780	Direct Telephone Calls To: John B. Conklin Leydig, Voit & Mayer, Ltd. (312) 616-5600 (Telephone) (312) 616-5700 (Facsimile)
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DECLARATION AND POWER OF ATTORNEY

SERIAL NO. 10/823,686

ATTORNEY DOCKET NO. 226877

MS DOCKET NO. 307089.02

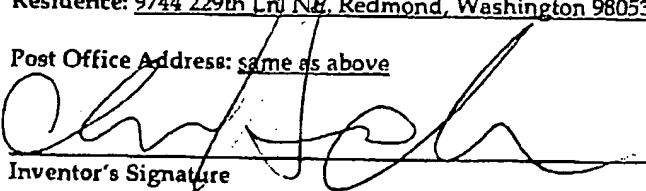
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Elliot D. LewisCitizenship: USResidence: 16425 SE 39th Place, Bellevue, Washington 98008Post Office Address: same as above_____
Inventor's Signature_____
DateFull Name of Inventor: Hakan BerkCitizenship: USResidence: 2378 127th Avenue NE, Bellevue, Washington 98005Post Office Address: same as above_____
Inventor's Signature_____
DateFull Name of Inventor: Narendra C. GidwaniCitizenship: IndiaResidence: 5604 Lakeview Drive NE, Kirkland, Washington 98033Post Office Address: same as above_____
Inventor's Signature_____
DateFull Name of Inventor: Jesper M. JohanssonCitizenship: USResidence: 14432 156th Ave NE, Woodinville, Washington 98072Post Office Address: same as above_____
Inventor's Signature_____
Date

DECLARATION AND POWER OF ATTORNEY

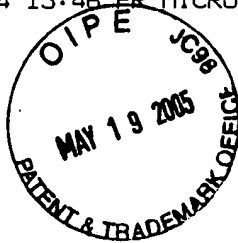
ATTORNEY DOCKET NO. 226877

MS DOCKET NO. 307089.02

Full Name of Inventor: Timothy M. MooreCitizenship: Great BritainResidence: 1223 167th Avenue SE, Bellevue, Washington 98008Post Office Address: same as above_____
Inventor's Signature_____
DateFull Name of Inventor: Ashwin PalekarCitizenship: CanadaResidence: 23510 NE 24th Court, Sammamish, Washington 98074Post Office Address: same as above_____
Inventor's Signature_____
DateFull Name of Inventor: Calvin C. ChoeCitizenship: USResidence: 9744 229th Ln NE, Redmond, Washington 98053Post Office Address: same as above

Inventor's Signature8-9-04

Date



PATENT
Attorney Docket No. 226877
Client Reference No. 307089.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LEWIS et al.

Application No. 10/823,686

Filed: April 14, 2004

For: **SYSTEM AND METHODS FOR
PROVIDING NETWORK
QUARANTINE**

Art Unit: 2131

Examiner: Unassigned

STATEMENT OF CONSENT PURSUANT TO 37 CFR 1.48(a)(4)

U.S. Patent and Trademark Office
220 20th Street S. Customer Window, Mail Stop Petition
Commissioner for Patents
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

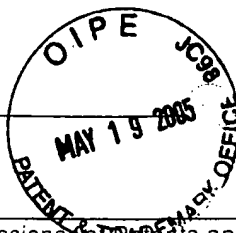
Dear Sir:

Microsoft Corporation attests that it is the assignee of the entire right, title, and interest of United States Patent Application No. 10/823,686, as evidenced by the assignment labeled Attachment A submitted with this statement and filed with the United States Patent Office on April 14, 2004. Microsoft Corporation consents to the addition of Calvin Choe as a named inventor of United States Patent Application No. 10/823,686.

Respectfully submitted,

David Bartley Eppenauer
Assistant Corporate Secretary, Microsoft Corporation
on behalf of Microsoft Corporation

10/11/04



ATTACHMENT A

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office
PATENT

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID #
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Conveying Party(ies)

1. Elliot D. LEWIS
2. Hakan BERK
3. Narendra c. GIDWANI
4. Jesper M. JOHANSSON
5. Timothy M. MOORE
6. Ashwin PALEKAR

Execution Date(s)

April 8, 2004
April 8, 2004
April 8, 2004
April 9, 2004
April 8, 2004
April 8, 2004

☐ Mark if Additional Names of Conveying Parties Attached

Receiving Party

Name Microsoft Corporation

Name

Address One Microsoft Way

Address

Address Redmond

City

WA

State/Country

98052

Zip Code

☐ Mark if Additional Names of Receiving Parties Attached

Correspondent Name and Address

A. Wesley Ferrebee
Leydig, Voit & Mayer
700 Thirteenth St., N.W. Suite 300
Washington, D.C. 20005-3960

Telephone: (202) 737-6770
Facsimile: (202) 737-6776
Attorney Docket No. 2226877/MICROSOFT

Pages Enter the total number of pages of the attached conveyance document including any attachments: 3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Numbers

Patent Numbers

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
April 8, 2004

Patent Cooperation Treaty (PCT)

Enter PCT application number *only if* a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved: 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): 40.00

Method of Payment:

☐ Enclosed is a check in the amount of \$☒ Charge Deposit Account No. 12-1216Authorization to Charge Additional Fees to Deposit Account No. 12-1216: ☒ Yes ☐ No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

A. Wesley Ferrebee, Reg. No. 51,312

Name of Person Signing

Signature

Date

ATTACHMENT A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventorship.....Elliot D. Lewis et al.
Applicant.....Microsoft Corporation
Attorney Docket No.226877
MS Docket No.307089.02
Title: SYSTEM AND METHODS FOR PROVIDING NETWORK QUARANTINE

PATENT ASSIGNMENT

PARTIES TO THE ASSIGNMENT

Assignor(s):

Elliot D. Lewis
16425 SE 39th Place
Bellevue, Washington 98008

Jesper M. Johansson
14432 156th Ave NE
Woodinville, Washington 98072

Hakan Berk
2378 127th Avenue NE
Bellevue, Washington 98005

Timothy M. Moore
1223 167th Avenue SE
Bellevue, Washington 98008

Narendra C. Gidwani
5604 Lakeview Drive NE
Kirkland, Washington 98033

Ashwin Palekar
23510 NE 24th Court
Sammamish, Washington 98074

Assignee:

Microsoft Corporation
Corporation in the State of Washington
One Microsoft Way
Redmond, WA 98052

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "SYSTEM AND METHODS FOR PROVIDING NETWORK QUARANTINE," as described and claimed in the specification forming part of an application for United States letters patent referenced above;

ATTACHMENT A

Attorney Docket No. 226877
MS Docket No. 307089.02
Serial No. (if known) _____

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

ATTACHMENT A

Attorney Docket No. 226877
MS Docket No. 307089.02
Serial No. (if known) _____

4/8/04
Date

Elliot D. Lewis
Elliot D. Lewis

4/8/04
Date

Hakan Benk
Hakan Benk

4/8/04
Date

Narendra C. Gidwani
Narendra C. Gidwani

4/9/04
Date

Jesper M. Johansson
Jesper M. Johansson

4/8/2004
Date

Timothy M. Moore
Timothy M. Moore

4/8/2004
Date

Ashwin Palekar
Ashwin Palekar